

# Can We Measure the Complexity of Mathematical Statements?

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## Main question

Can we compare in a reasonable way the complexity of

- ▶ the four colour theorem,
- ▶ Fermat's last theorem,
- ▶ the Riemann hypothesis?



## More questions

An affirmative answer to this question ought to be based on a “mathematical property” shared by all statements we wish to compare. . .

Is there a natural candidate for such a “mathematical property”?



## A “shared mathematical property”

We propose the property that a statement is **finitely refutable**, i.e. the statement can be refuted by a single counter-example.

For example, Fermat's last theorem, which states that there are no positive integers  $x, y, z$  satisfying the equation  $x^n + y^n = z^n$ , for any integer value  $n > 2$ , is finitely refutable because a single positive integer-valued vector  $(x, y, z, n)$ ,  $n > 2$  for which the equality is  $x^n + y^n = z^n$  is true, refutes the statement.



## $\Pi_1$ -problems

A problem  $\pi$  of the form

$$\forall k P(k),$$

where  $P$  is a computable predicate is called a  $\Pi_1$ -problem.

Any  $\Pi_1$ -problem is finitely refutable.

Fermat's last theorem is a  $\Pi_1$ -problem. The predicate  $P(k)$  is true iff

- a)  $k = J(J(J(n, x), y, )z)$  and
- b)  $J(a, b) = (a + b)(a + b + 1)/2$  and
- c)  $n > 2$  and
- d)  $x, y, z > 0$  and
- e)  $x^n = y^n + z^n$ .

What about the four colour theorem and the Riemann hypothesis?



## A uniform way to solve $\Pi_1$ -problems

Fix  $U$  a universal Turing machine.

For every  $\Pi_1$ -problem  $\pi = \forall k P(k)$  we associate a program for  $U$  which computes the first counter-example (if any):

$$\sigma_\pi =_{\text{def}} \inf\{k : P(k) = \text{false}\}.$$

This program satisfies:

$$\pi \text{ is true iff } U(\sigma_\pi) = \infty.$$



## A universal Turing machine

A simple, minimal universal Turing machine  $U$  can be designed using the following five instructions:

= r1 r2 r3

& r1 r2

+ r1 r2

! r1

%



## An example of a program for $U$

The following program computes in  $d$  the product of two non-negative integers  $a$  and  $b$ :

number	instruction
0	&h, e
1	&d, 0
2	=b, 0, 8
3	&e, 1
4	+d, a
5	=b, e, 8
6	+e, 1
7	=a, a, 4
8	&e, h
9	=a, a, c





## The halting problem

The **halting problem** for a Turing machine  $V$  is the function  $\Lambda_V$  defined for every program  $\sigma$  by

$$\text{halt}_V(\sigma) = \begin{cases} 0, & \text{if } V(\sigma) = \infty, \\ 1, & \text{if } V(\sigma) < \infty. \end{cases}$$

**Turing's undecidability theorem.** The halting problem for a **universal** machine  $U$

$$\text{halt}_U(\sigma) = \begin{cases} 0, & \text{if } U(\sigma) = \infty, \\ 1, & \text{if } U(\sigma) < \infty, \end{cases}$$

is incomputable (by any Turing machine).



## A complexity measure

So, we have a uniform way to solve every  $\Pi_1$ -problem which is . . . useless.

Not completely! We can introduce a complexity measure of a  $\Pi_1$ -problem by computing the size of the smallest program searching for a counter-example to the problem:

The complexity (with respect to  $U$ ) of a  $\Pi_1$ -problem  $\pi$  is defined by

$$C_U(\pi) = \inf\{|\Pi_P| : \pi = \forall k P(k)\},$$

where  $\inf$  is taken according to all possible  $P$ 's and  $\Pi_P$ 's.



## Computing the size of a program

number	instruction	code	length
0	&h,e	01 0001001 00110	14
1	&d,0	01 00101 100	10
2	=b,0,8	00 011 100 1110010	15
3	&e,1	01 00110 101	10
4	+d,a	111 00101 010	11
5	=b,e,8	00 011 00110 1110010	17
6	+e,1	111 00110 101	11
7	=a,a,4	00 010 010 11010	13
8	&e,h	01 00110 0001001	14
9	=a,a,c	00 010 010 00100	13

Total length: 128.



## Estimating the complexity

The complexity  $C_U$  is incomputable, so the goal is to compute an upper bound of the complexity  $C_U(\pi)$  by choosing a representation  $\pi = \forall k P(k)$  for which  $|\Pi_P|$  is the smallest possible.

The running time efficiency of the program  $\Pi_P$  is irrelevant here, the size in bits counts.

According to the complexity  $C_U$  we classify  $\Pi_1$ -problems into the following classes:

$$\mathfrak{C}_{U,n} = \{\pi : \pi \text{ is a } \Pi_1\text{-problem, } C_U(\pi) \leq n \text{ kbit}\}.$$



## Remarkable $\Pi_1$ -problems

**Theorem.** The four colour theorem is a  $\Pi_1$ -problem.

**Theorem.** Riemann hypothesis is a  $\Pi_1$ -problem.

Not all problems are  $\Pi_1$ -problems. For example, the twin-prime conjecture is not a  $\Pi_1$ -problem.



## Riemann hypothesis predicate

The negation of the Riemann hypothesis is equivalent to the existence of positive integers  $k, l, m, n$  satisfying the following:

1.  $n \geq 600$ ,
2.  $\forall y < n [(y + 1) \mid m]$ ,
3.  $m > 0 \& \forall y < m [y = 0 \vee \exists x < n [\neg [(x + 1) \mid y]]]$ ,
4.  $\text{explog}(m - 1, l)$ ,
5.  $\text{explog}(n - 1, k)$ ,
6.  $(l - n)^2 > 4n^2 k^4$ ,

where  $x \mid z$  means “ $x$  divides  $z$ ” and  $\text{explog}(a, b)$  is the predicate

$$\exists x [x > b + 1 \& (1 + 1/x)^{xb} \leq a + 1 < 4(1 + 1/x)^{xb}].$$



## Computational results

According to  $U$  we have classified some mathematical statements:

1. Legendre's conjecture (for any natural number  $n > 1$  there exists a prime number  $p$  such that  $n^2 \leq p \leq (n + 1)^2$ ) (416), Fermat's last theorem (738) and Goldbach's conjecture (every even integer greater than 2 can be expressed as the sum of two primes) (756) are in  $\mathfrak{C}_{U,1}$ ,
2. Dyson's conjecture (the reverse of a power of two is never a power of five) (1067) is in  $\mathfrak{C}_{U,2}$ ,
3. the Riemann hypothesis (2741) is in  $\mathfrak{C}_{U,3}$ ,
4. the four colour theorem (3289) is in  $\mathfrak{C}_{U,4}$ .

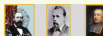
We proved that Collatz's  $3x + 1$  problem is a  $\Pi_1$ -problem, but the proof is not constructive, so we could not (yet) classify it.



## Open questions

Rank according to complexity the following problems:

- ▶ The formula:  $e^{i\pi} = -1$ ,
- ▶ The formula:  $1 + \frac{1}{2^2} + \frac{1}{3^2} + \frac{1}{4^2} + \dots = \pi^2/6$ ,
- ▶ The statement: “At any party, there is a pair of people who have the same number of friends present,”
- ▶ The statement: “ $\pi$  is transcendental.”





## References

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## A Turing machine

